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Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

	Application No.	Applicant(s)
	10/607,834	VOGEL ET AL.
Office Action Summary	Examiner	Art Unit
	GINNY PORTNER	1645
The MAILING DATE of this communication ap Period for Reply	ppears on the cover sheet with the	correspondence address
A SHORTENED STATUTORY PERIOD FOR REP WHICHEVER IS LONGER, FROM THE MAILING I - Extensions of time may be available under the provisions of 37 CFR 1 after SIX (6) MONTHS from the mailing date of this communication.  - If NO period for reply is specified above, the maximum statutory perior - Failure to reply within the set or extended period for reply will, by statu Any reply received by the Office later than three months after the mail earned patent term adjustment. See 37 CFR 1.704(b).	DATE OF THIS COMMUNICATIO 1.136(a). In no event, however, may a reply be ti d will apply and will expire SIX (6) MONTHS fron ute, cause the application to become ABANDONI	N. mely filed n the mailing date of this communication. ED (35 U.S.C. § 133).
Status		
Responsive to communication(s) filed on 10.      This action is <b>FINAL</b> . 2b) ☑ The 3) ☐ Since this application is in condition for allow closed in accordance with the practice under	nis action is non-final. vance except for formal matters, pr	
Disposition of Claims		
4)  Claim(s) 1-42,85 and 86 is/are pending in the 4a) Of the above claim(s) 3,5,10-15,26-42 and 5)  Claim(s) is/are allowed. 6)  Claim(s) 1,2,4,6-9, 16-25 is/are rejected. 7)  Claim(s) 86 is/are objected to. 8)  Claim(s) are subject to restriction and Application Papers	n <u>d 85</u> is/are withdrawn from consid	eration.
9)☐ The specification is objected to by the Examir	205	
10) The drawing(s) filed on is/are: a) ac applicant may not request that any objection to the Replacement drawing sheet(s) including the correct 11) The oath or declaration is objected to by the E	ccepted or b) objected to by the e drawing(s) be held in abeyance. Section is required if the drawing(s) is objection	ee 37 CFR 1.85(a). ojected to. See 37 CFR 1.121(d).
Priority under 35 U.S.C. § 119		
12) Acknowledgment is made of a claim for foreign a) All b) Some * c) None of:  1. Certified copies of the priority document 2. Certified copies of the priority document 3. Copies of the certified copies of the priority application from the International Bure * See the attached detailed Office action for a list	nts have been received. nts have been received in Applicatiority documents have been receivau (PCT Rule 17.2(a)).	tion No ed in this National Stage
Attachment(s)  1) Notice of References Cited (PTO-892)  2) Notice of Draftsperson's Patent Drawing Review (PTO-948)  3) Information Disclosure Statement(s) (PTO/SB/08)  Paper No(s)/Mail Date	4)  Interview Summary Paper No(s)/Mail D 5)  Notice of Informal 6)  Other:	oate

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## **DETAILED ACTION**

Claims 1-42, 85-86 are pending. Claims 1-2,4,6-9,16-25 are under consideration.

## Continued Examination Under 37 CFR 1.114

1. A request for continued examination under 37 CFR 1.114, including the fee set forth in 37 CFR 1.17(e), was filed in this application after final rejection. Since this application is eligible for continued examination under 37 CFR 1.114, and the fee set forth in 37 CFR 1.17(e) has been timely paid, the finality of the previous Office action has been withdrawn pursuant to 37 CFR 1.114. Applicant's submission filed on May 28, 2008 has been entered.

# Allowable Subject Matter

2. New Claim 86 is objected to as being dependent upon a rejected base claim, but would be allowable if rewritten in independent form including all of the limitations of the base claim and any intervening claims.

## Response to Arguments

- 1. Applicant's arguments filed May 28, 2008 have been fully considered but they are not persuasive.
- 2. **Rejections Maintained, Claim Rejections 35 USC § 102:** The rejection of claims 1-2,4,6-9,16-17,18, 19-21, 22-25 under 35 U.S.C. 102(b) as being anticipated by Pascual et al (WO97/18790) in light of evidence provided by Spevak et al 1996 incorporated by reference (particle attached carbohydrate) is traversed on the grounds that:
  - a. The combined teachings of Pascual reference and the Spevak reference describe the claimed invention.
- 3. In response to Applicant's statement that the prior art rejection is the "combined teachings of Pascual reference and the Spevak reference", it is the position of the examiner that Pascual et al inherently anticipates the instantly claimed invention in light of Spevak, a reference incorporated by reference into Pascual,. The prior art rejection is an inherency rejection.

EXAMPLE 14

PAM screening matrices. Matrices displaying physiological ligands for PAMs are prepared from known carbonydrate and glycoprotein counter-receptors that bind cellular receptors used by pathogenic microbes. Various macromolecular structures have been designed by the inventors for use as diagnostic materials for the rapid screening of pathogens for PAMs. For developing carbohydrate-terminated matrices, the inventors use a method (Method I) described by Spevak et al (Spevak, W., et al. 1996. J. Med. Chem. 19:1018-1020) for the construction of scidic multivalent assemblies displaying carbohydrate ligands for detecting or identifying PAMs in the shear appear system. These matrices consist of an acidic lipid scaffolding supporting glycolipid ligands that are used to coat the internal walls of capillary

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tubes. Carbobydrate-terminated ligid matrices are made stable by polymerization with DV. Another Method (Method 2) consists of diluting the N-glycosides or glycolipid in methanol, drawing approximatley 180ml containing 100 mg glycolipid into a capillary tube and allowing the solvent to evaporate overnight at 37\*C. The lumen of the tubes is then coated with 200µl of 2% bowine serum albumin (86A) in phosphane buffered maline (PBS) for 2 hours, washed twice with the ESA solution, and finally flushed free of the coating solution. For developing glycoprotein-terminated matrices, a Method 3 includes inmubation of glycoprotein adhesion molecule constructs in HBSS media (100 µg/ml) containing Ca++ and Mg++ plus detergent for one hour at 37°C in capillary tubes. The nube is then cooled to 4°C for 15 minutes and flushed (washed) with 9885 medium maintained at 4°C. The inventors have developed an extensive panel of nutilise glycoproteins including E-1- and F-selecties, ICAM, VCAM, MAdCAM-1 and \$1 and \$2 integrins. Using Methods 1, 2 or 1. the inventors have formulated several novel PAM acreening matrices for use as diagnostic reagents in the shear assay system. These include but are not limited to the following

Instant claims 1-2: The above narrative taken from Pascual et al teaches a shear assay system (see Pascual et al, claim 52). The assay combines the pathogen's adhesion, which is called I-FABSDAM in Applicant's claims, together with host target cell expressing the ligand for the adhesion, or with purified ligands for the target cell (see Pascual et al, claims 51-52, page 91), the ligand is called FAMSDB-L in Applicant's claims. Therefore Pascual et al combine an adhesion molecule and its ligand to bring about binding, and then add shear stress under flow conditions to change the bond stress between the two molecules (see Pascual et al, claim 52; see page 71 for table of adhesins and carbohydrate ligands). One type of pathogen adhesion

disclosed includes a peptide domain of the adhesive lectin region on fimbriae displayed by Escherichia coli and Salmonella typhi (see Pascual, page 87, claims 21 and 30) which is combined with a carbohydrate ligand (mannose, see Pascual claims 15-16; see page 72, lines 1-18) present on endothelial cells or in purified form (see Pascual claim 15, page 85).

- 4. Applicant states that Pascual et al does not disclose "after the binding, changing a bond stress on the I-FABSDAM.
- 5. In response to applicant's argument that the references fail to show certain features of applicant's invention, it is noted that the features upon which applicant relies (i.e., after binding changing a bond stress) are not recited in the rejected claim(s). Although the claims are interpreted in light of the specification, limitations from the specification are not read into the claims. See *In re Van Geuns*, 988 F.2d 1181, 26 USPQ2d 1057 (Fed. Cir. 1993).
- 6. Additionally, Pascual et al disclose flow shear force bond stress changes between an adhesin under static conditions and then under shear bond stress conditions wherein the bond strength increases with increase shear stress (see Pascual et al, page 16, Table 1). Clearly the data presented in Table 1, shows an increase in bond stress under shear forces, as well as a decrease in bond stress under shear based upon the evident changes induced by Shear forces for **E-selectin**, **P-selectin**, and **L-selectin**.

15	<u>Table 1</u> Adhesion Mole Adhesion Mole Function Cond	CLLAR STATES SAME	ed Under Shea ed on Optimal	r.		* /
20	E-selectin P-selectin L-selectin MAdCAM-1	Leukocyte *	Endothelium + + +	Shear + +	Static	
25	PNAd - VCAM-1 ICAM-1 Mac-1 1-LFA-1	*	* .	*/~ */~ -/+	* * *	
30	VIA4 beta -1 LPAM	*	*	-/+ -/+ *	* * *	

7. This same Shear Force assay format is disclosed for microbial adhesins (see page 17, lines 16-21) and their ligands. Clearly Pascual disclose the instantly claimed invention as now claimed.

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Therefore, in light of Applicant's definitions of the terms recited in the instant claims, Pascual et al still anticipates the instantly claimed invention because Pascual et al disclose molecules that are adhesins (selectins) binding to ligands on the surfaces of cell membranes. Instant claim1 is not limited to a method of evaluating bacterial adhesins bond strength but encompasses eukaryotic adhesins in association with cell membrane associated ligands, the bonds of which are changed due to shear stress. While Pascual evaluates competitive inhibitors of known adhesion/ligand binding strength under **shear forces**, these competitive inhibitors meet the definition provided in Applicant's specification which defines the adhesion molecule to be "FABSDAM" refer to **molecules that are capable of binding ligands in a force-activated bond stress-dependent manner**." The method of Pascual et al still anticipates the instantly claimed invention as now claimed.

Original prior art rejection: Pascual et al disclose the instantly claimed method, the method comprising the step (see page 12, lines 15-33; page 30, lines 33-36 "an in vitro shear assay system"; "Assessment of the adhesivity of pathogens with target cell receptors under different levels of shear force (see page 31, lines 10-19)", see page 41 of:

Instant claim 1,22, 25: Changing a bond stress of an isolated force activated bond stress dependent adhesion molecule (see page 60 line 17 "purified"; page 64, lines 21-22 "purified adhesion of adhesion-positive microbes"; page 16, Table 1, and lines 6-7 "in vitro assays under high shear conditions designed to reflect blood flow"; E-selectin; P-selectin; L-selectin binding to ligands on endothelium or leukocytes, wherein binding increases in the presence of shear force (shear, positive binding) and decreases in the absence of shear forces ("static" and negative binding).

to a force activated bond stress dependent binding ligand; protein-carbohydrate interactions (see page 13, lines 9-10); "different glycoconjugates that function as counter-receptors for pathogen adhesion molecules" (page 19, lines 31-32); also see page 32, lines 18-27 "adhesion molecule of a pathogenic organism which interacts with receptor molecules of a cell); "multivalent assemblies displaying carbohydrate ligands (page 59, lines 31-32).

**Instant claim 2**: wherein the bond stress is shear force (see page 16, Table 1, and lines 6-7 "in vitro assays under high shear conditions designed to reflect blood flow";

**Instant claim 4:** binding increases in the presence of shear force (shear, positive binding) and decreases in the absence of shear forces ("static" and negative binding). (see page 16, Table).

**Instant claim 6**: wherein the method results in tightly bound adhesion and ligand binding (See page 17 "shear-dependent attachment and rolling".; "activation dependent adhesion strengthening (slowed rolling), followed by tight adhesion").

**Instant claim 7**: wherein the adhesion molecule is microbial lectins (see page 17, lines 16-30), or an adhesin, selectin, integrin, immunoglobulin superfamily cell adhesion molecule or microbial lectin

**Instant claim 8**: wherein the adhesion comprises polypeptide (see claim 30, "the adhesive lectin region on fibriae displayed on microbes selected from the group consisting of Escherichia coli, Neisseria gonorrhoeae, Neisseria meningitides, Salmonella typhi, Salmonella typhimurium, Pseudomonas aeruginosa and Yersinia enterocolitica, page 87 and page 71, Salmonella typhi and typhimurium, fimbrial adhesion binds to mannose, and is therefore a FimH polypeptide. polypeptide). "Lectins frequently appear on the surface of the cell, on specific organelles, such as bacterial fimbriae or are part of the structure of exotoxins elaborated by bacteria."

**Instant claim 9**: wherein the FimH polypeptide is an E.coli FimH polypeptide (see page 71, E.coli binding to mannose, and therefore is a FimH, E.coli polypeptide). While the reference does not mention the term "FimH", in light of evidence provided by Swiss-Prot accession numbers P08191 and Q9R5Y2 that show both E.coli and Salmonella to express a polypeptide that binds to mannose and is referred to as FimH.

**Instant claim 16-17**: mannose or oligomannose (see mono or oligosaccharide, both simple or complex (page 11, lines 35-36; and page 71, carbohydrate specificity column "Mannose"). see page 11, lines 35-36 and page 12, lines 1-7 "Lectins bind reversibly and noncovalently with mono or oligosaccharides, both simple and complex" and page 72, lines 16-17).

**Instant claim 18**: wherein the adhesion molecule is attached to a particle, the particle being a bacterial pili (also known as Fimbrial adhesion, see page 71) being "bead-bound" (see page 41, lines 16-17); see "E.coli coated beads (see page 44, line 40), or "purified glycoproteins" that are incorporated into screening matrices (see page 60, lines 16-22 and page 61) thus producing a synthetic molecule associated with a synthetic substrate surface.

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**Instant claim 19**: wherein the ligand is attached to a particle (see page 59, Example 14 "carbohydrate terminated matrices" in light of Spevak et al, 1996, incorporated by reference) purified ligand coated on the luminal surface of a capillary tube reaction chamber" see page 56, lines 28-31)

**Instant claim 20-21**: ligand attached to a particle, the particle being a synthetic molecule (in light of teaching by Spevak et al incorporated by reference, particles), or coated on a device surface which is a synthetic substrate surface.

**Instant claims 23-25:** wherein changing said bond stress comprises applying a bond stress within the claimed ranges of 1-3 dynes/cm<sup>2</sup> (see page 41, line 8).

Pascual et al anticipates the instantly claimed invention directed to a method that increases bond strength by of a bacterial lectin adhesins present in purified fimbria of Salmonella and E.coli that bind to mannose or oligomannose ligands each of which are attached to a particle, in light of Spevak (1996, incorporated into Pascual et al by reference, page 59, lines 29-30) that teach particles for attaching carbohydrate ligands.

- 1. Since the Office does not have the facilities for examining and comparing applicant's protein with the protein of the prior art, the burden is on applicant to show a novel or unobvious difference between the claimed product and the product of the prior art (i.e., that the protein of the prior art does not possess the same functional characteristics of the claimed protein). See In re Best, 562 F.2d 1252, 195 USPQ 430 (CCPA 1977) and In re Fitzgerald et al., 205 USPQ 594
- 2. Inherently the reference anticipates the now claimed invention. Atlas Powder Co. V IRECA, 51 USPQ2d 1943, (FED Cir. 1999) states AArtisans of ordinary skill may not recognize the inherent characteristics or functioning of the prior art...However, the discovery of a previously unappreciated property of a prior art composition, or of a scientific explanation for the prior arts functioning, does not render the old composition patentably new to the discoverer. The Court further held that Athis same reasoning holds true when it is not a property but an ingredient which is inherently contained in the prior art.
- 1. The rejection of claims 1-2,4,6-9,16-17,18, 19-21, 22-25 under 35 U.S.C. 102(e) as being anticipated by Bargatze et al, (US PG Pub. 2004/0247611, effective filing date November 23, 1998) is traversed on the grounds that:
  - a. Bargatze et al does not describe every element of the claimed invention, specifically the reference "fails to disclose an isolated force activated stress dependent adhesion molecule (I-FABSDAM) or a force activated bond stress dependent binding

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ligand (FABSDB-L)" and concludes that "the cited reference does not exactly describe the invention as now claimed" and states the claimed invention requires the IFABSDAM to not be in "the same context in which they exist in nature

- b. Bargatze shows an assay that evaluates the interaction between the pathogen adhesion molecule and its corresponding ligand to identify a pathogen.
- 2. Bargatze discloses a method of "identifying pathogen-ligand interactions under <u>shear</u> conditions (abstract)", the interactions being those associated with an in vitro blood vessel shear flow system [0018], also see claim 66 "carbohydrate" claim 65 "ligands coated on beads", and produce differing types of physiological shear stress (see claim 59).
- 3. Bargatze disclose the utilization of adhesins that are not in the native location (see claims 70, 78 and 80, "isolated pathogen adhesion molecules"), but are isolated: [0223] The <u>isolation</u> of a pathogen's adhesion (attachment) molecule (PAM) which mimics that expressed on host cells begins with its detection and characterization in the inventors in vitro <u>shear</u> assay system using target cells that express the ligand for the adhesion molecule or <u>purified</u> ligands coated on the lumenal surface of a capillary tube reaction chamber.
- 4. Bargatze goes on to describe binding after application of shear assay bond stress: [0230] PAM screening matrices.--Matrices displaying physiological ligands for PAMs are prepared from known carbohydrate and glycoprotein counter-receptors that bind cellular receptors used by pathogenic microbes. Various macromolecular structures have been designed by the inventors for use as diagnostic materials for the rapid screening of pathogens for PAMs. For developing carbohydrate-terminated matrices, the inventors use a method (Method 1) described by Spevak et al (Spevak, W., et al. 1996. J. Med. Chem. 39:1018-1020) for the construction of acidic

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multivalent assemblies displaying carbohydrate ligands for detecting or identifying PAMs in the shear assay system. These matrices consist of an acidic lipid scaffolding supporting glycolipid ligands that are used to coat the internal walls of capillary tubes. Carbohydrate-terminated lipid matrices are made stable by polymerization with UV. Another Method (Method 2) consists of diluting the N-glycosides or glycolipid in methanol, drawing approximately 100 .mu.l containing 100 ng glycolipid into a capillary tube and allowing the solvent to evaporate overnight at 37.degree. C. The lumen of the tubes is then coated with 200 .mu.l of 2% bovine serum albumin (BSA) in phosphate buffered saline (PBS) for 2 hours, washed twice with the BSA solution, and finally flushed free of the coating solution. For developing glycoprotein-terminated matrices, a Method 3 includes incubation of glycoprotein adhesion molecule constructs in HBSS media (100 .mu.g/ml) containing Ca++ and Mg++ plus detergent for one hour at 37.degree. C. in capillary tubes. The tube is then cooled to 4.degree. C. for 15 minutes and flushed (washed) with HBSS medium maintained at 4.degree. C. The inventors have developed an extensive panel of purified glycoproteins including E-L- and P-selectins, ICAM, VCAM, MAdCAM-1 and .beta.1 and .beta.2 integrins. Using Methods 1, 2 or 3, the inventors have formulated several novel PAM screening matrices for use as diagnostic reagents in the shear assay system."

5. It is the position of the examiner that the terms (I-FABSDAM) and (FABSDB-L) are terms that are defined in the Specification to represent a genus of molecules with specific functional characteristics; the functional characteristics being adhesion activity and adhesion binding activity, respectively. The instant method requires the (I-FABSDAM) and the (FABSDB-L) to bind to each other and for the bond stress to be changed, the change including

increased binding strength under shear flow conditions. One mode of increasing bond stress defined, as defined in the instant Specification, is flow bond stress induced changes.

Prior art rejection: Bargatze et al disclose and claim a method of increasing the bond strength of an adhesion molecule (see page 25, claims 55-56 "soluble pathogen adhesins" introduced to a moving fluid that creates shear flow conditions), wherein the adhesins are contacted with carbohydrate ligands coated on beads (see page 25, claims 65-66 "carbohydrate"), the method comprising the step of:

**binding** the adhesion with the ligand:

"(55. A method for identifying pathogen-ligand adhesive interactions under <u>shear</u> flow conditions, wherein the ligand is immobilized on a substrate.

56. The method of claim 55 comprising: (a) coating the surface of said substrate with a candidate ligand or target cells expressing a candidate ligand; (b) moving a fluid across the substrate to create shear flow conditions; (c) introducing pathogens or soluble pathogen adhesins into said moving fluid; and (d) observing adhesive interactions between said pathogens and said coated substrate under shear flow conditions to identify pathogen-ligand adhesive interactions" and

**changing** the bond stress of the isolated adhesion so that the bond stress increases under shear force flow conditions (see claims 55-56 and Table 1, page 5; Example 14, page 17, and table on page 17-18; Example 16; tables on page 20-21, especially the Microbial Pathogen carbohydrate binding protein that bind to carbohydrate ligand.)

Bargatze et al still anticipates the instantly claimed invention as now claimed as the claimed methods steps may be carried out in any order "comprising", as well as carried out in the

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claimed order, as Bargatze et al evaluate the bond strength under shear flow stress conditions between an adhesion and ligand which are bound to each other.

- 3. Since the Office does not have the facilities for examining and comparing applicant's protein with the protein of the prior art, the burden is on applicant to show a novel or unobvious difference between the claimed product and the product of the prior art (i.e., that the protein of the prior art does not possess the same functional characteristics of the claimed protein). See In re Best, 562 F.2d 1252, 195 USPQ 430 (CCPA 1977) and In re Fitzgerald et al., 205 USPQ 594
- 4. Inherently the reference anticipates the now claimed invention. Atlas Powder Co. V IRECA, 51 USPQ2d 1943, (FED Cir. 1999) states Artisans of ordinary skill may not recognize the inherent characteristics or functioning of the prior art...However, the discovery of a previously unappreciated property of a prior art composition, or of a scientific explanation for the prior arts functioning, does not render the old composition patentably new to the discoverer. AThe Court further held that Athis same reasoning holds true when it is not a property but an ingredient which is inherently contained in the prior art.
- 6. The rejection of claims 1-2, 4, 6-7 under 35 U.S.C. 102(b) as being anticipated by Brooks et al (1983) is traversed on the grounds that:
  - c. The reference does not disclose an isolated force activated stress dependent adhesion molecule or a force activated stress dependent binding ligand as required by the claims.
- 7. It is the position of the examiner that claimed method encompasses isolated organisms (see claim 18), and Brooks <u>isolated</u> Aeromonas salmonicida strain 438, which is a bacterial organism. Aeromonas salmonicida strain 438 comprises the adhesion, and contacted the isolated adhesion with its ligand attached to a particle, specifically erythrocytes under shear stress.

Applicant's definition of I-FASDAM includes "[0111] In the practice of this invention, a FABSDAM or an isolated FABSDAM (I-FABSDAM) and/or a FASBSDB-L can be attached to a particle, including, but not limited to bacterial pili, naturally occurring isolated molecules,

synthetic molecules, proteins, polypeptides, organelles, prokaryotic cells to which said FABSDAM is not native, eukaryotic cells to which said I-FABSDAM is not native, viruses, organisms, nanoparticles, microbeads, and microparticles or to a surface selected from the group consisting of cell membranes, other biological membranes, device surfaces and synthetic substrate surfaces."

Brooks et al still anticipates the instantly claimed invention as now claimed.

Original Prior art rejection: Brooks et al disclose the instantly claimed invention directed to a method comprising the step of increasing the bond strength (see page 320, paragraph 2, last full sentence; page 321, paragraph 1 "This second phase represents a marked strengthening of the aggregation and hence of bacterial adhesion induced by shear in the system") of an isolated adhesion of E.coli pili, wherein the increase in bond strength was induced by shear force (see page 327, Figure 10 and page 328, Figure 11), and wherein the ligand was A+ human erythrocytes that are known to present D-mannose/L-fucose carbohydrate ligand (see figure 2, ledger, line 3 and Figure 2 alphaMM defined at page 321, paragraph 2, line 5). Brooks et al anticipates the instantly claimed invention as now claimed.

#### Conclusion

- 1. This is a non-final action.
- 8. The prior art made of record and not relied upon is considered pertinent to applicant's disclosure. Various references have been cited to show shear force stress assays, microbial adhesins or adhesions to cell receptors. See Shvets et al, (US Pat. 7,122,301, claim 1, flow shear stress assay claimed).

Any inquiry concerning this communication or earlier communications from the examiner should be directed to GINNY PORTNER whose telephone number is (571)272-0862. The examiner can normally be reached on flextime, but usually M-F, alternate Fridays off.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisors, Shanon Foley or Robert Mondesi, can be reached on 571-272-0898 and 571-272-0956, respectively. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

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information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/Ginny Portner/ Examiner, Art Unit 1645 August 12, 2008

/Mark Navarro/ Primary Examiner, Art Unit 1645